

<b>Notice of References Cited</b>	Application/Control No. 10/077,182	Applicant(s)/Patent Under Reexamination BLOOM ET AL.	
	Examiner Sara Chandler	Art Unit 3693	Page 1 of 1

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*	C	US-7,099,838	08-2006	Gastineau et al.	705/35
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

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	U	"Critics Worry about risks of Exchange-Traded Funds," Wall Street Journal; New York, NY; Jul. 7, 2000; By Karen Damato and Aaron Lucchetti.
	V	"Exchange-traded funds not for everyone," by Wilfred L Dellva. Journal of Financial Planning. Denver: Apr. 2001. Vol. 14, Iss. 4.
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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